

# **ENIGMAWatch: ProofWatch Meets ENIGMA**

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# Learning From Mizar Proofs

## We work with

- Mizar Mathematical Library (MML) contains 1148 articles:
  - including *Bolzano-Weierstrass* and *Gödel's completeness theorem*
- An interactive theorem proving system
- Evaluate on 5000 (out of 57897) Mizar theorems and top-level lemmas

# Learning From Mizar Proofs

- MML contains **De Morgan's laws** in Boolean algebra, and the related inequalities in Heyting algebras

theorem `:: WAYBEL_1:85`

for  $H$  being non empty lower-bounded RelStr st  $H$  is Heyting holds  
for  $a, b$  being Element of  $H$  holds 'not' ( $a \wedge b$ )  $\geq$  ('not'  $a$ )  $\vee$  ('not'  $b$ )

for  $a, b \in H$ ,  $\neg(a \wedge b) \geq \neg a \vee \neg b$

theorem *Th36*: `:: YELLOW_5:36`

for  $L$  being non empty Boolean RelStr  
for  $a, b$  being Element of  $L$  holds  
( 'not' ( $a \vee b$ ) = ('not'  $a$ )  $\wedge$  ('not'  $b$ ) & 'not' ( $a \wedge b$ ) = ('not'  $a$ )  $\vee$  ('not'  $b$ ) )

for  $a, b \in L$ ,  $\neg(a \vee b) = \neg a \wedge \neg b$

$\neg(a \wedge b) = \neg a \vee \neg b$

# Learning From Mizar Proofs

## We work with

- Mizar Mathematical Library (MML)
  - An Interactive Theorem Proving system
  - Evaluate on 5000 (out of 57897) Mizar theorems and top-level lemmas
- **E prover**
  - Saturation based automated theorem prover (ATP)
  - Can be a hammer for interactive theorem proving (ITP)
  - Uses Mizar in first order formula (FOF) form
  - We learn from E proof clauses in conjunctive normal form (CNF)

# Results Overview

On our 5000 problem benchmark, E proves:

- *Baseline strategy*: 1140
- *ProofWatch (symbolic learning)*: 1356 (+19%)
- *ENIGMA (statistical learning)*: 1557 (+37%)
- *ENIGMAWatch (combined learning)*: 1694 (+49%)

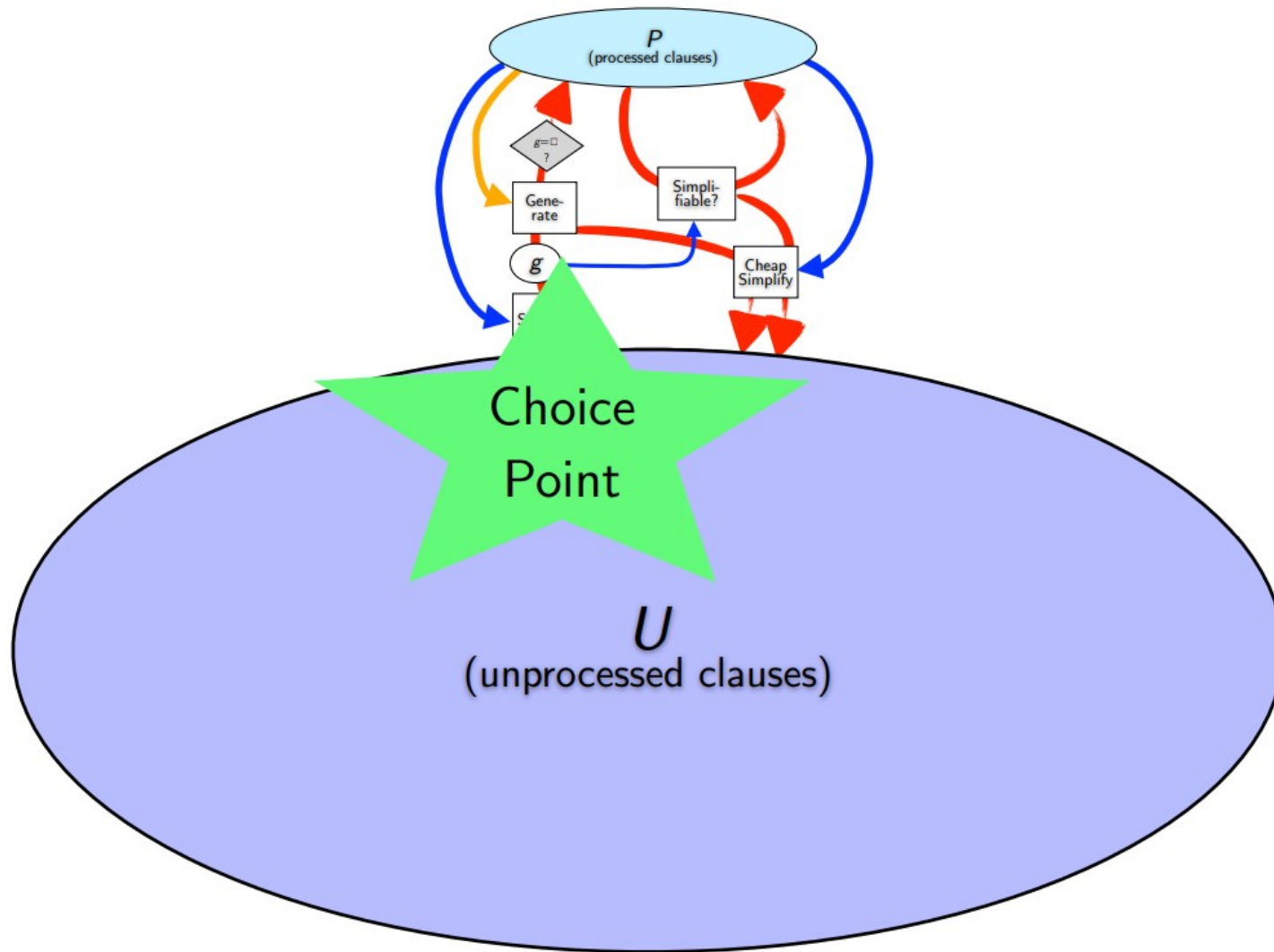
# Outline of talk

- **Brief overview of E prover.**
- **ENIGMA (Efficient learNing-based Inference Guiding Machine)**
- **ProofWatch: Dynamic Watchlist Guidance**
- **ENIGMAWatch: ProofWatch → Enigma**
- **Experiments + Results**
- **Conclusion**

# E Prover (a Saturation-based ATP)

- **Goal: Prove conjecture from premises.**
- **E has two sets of clauses:**
  - *Processed* clauses P (initially empty)
  - *Unprocessed* clauses U (Negated Conjecture and Premises)
- **Given Clause Loop:**
  - Select '*given clause*' g to add to P
  - Apply *inference rules* to g and all clauses in P
  - Process new clauses. Add non-trivial and non-redundant ones to U.
- **Proof search succeeds when empty clause is inferred.**
- **Proof consists of some of the given clauses.**

# Given Clause Loop in E





# E Strategies

- Consist of *Clause Evaluation Functions*:
  - *Priority functions*: partition clauses into priority queues.
    - e.g., *PreferUnit*, *ConstPrio*
  - **Weight functions**: order clauses in queues based on a score.
    - e.g.: **Clauseweight**, **FIFOWeight**
- Weighted by frequency of use, for example:

```
-H(2*Clauseweight(PreferWatchlist,20,9999,4)  
  ,4*FIFOWeight(PreferUnit))
```

# Learning Given Clause Selection

## ENIGMA

- **Statistical Learning**
- **Learns from given-clauses**
- ***Positive and Negative***
- **Maps clauses to vectors**
- **Weight function**
- **No proof state**
- **Ranks all clauses**

## ProofWatch

- **Symbolic Learning**
- **Learns from given-clauses**
- ***Positive only (proof clauses)***
- **Uses clauses as is**
- **Priority function**
- **Yes proof state**
- **Only ranks some clauses**

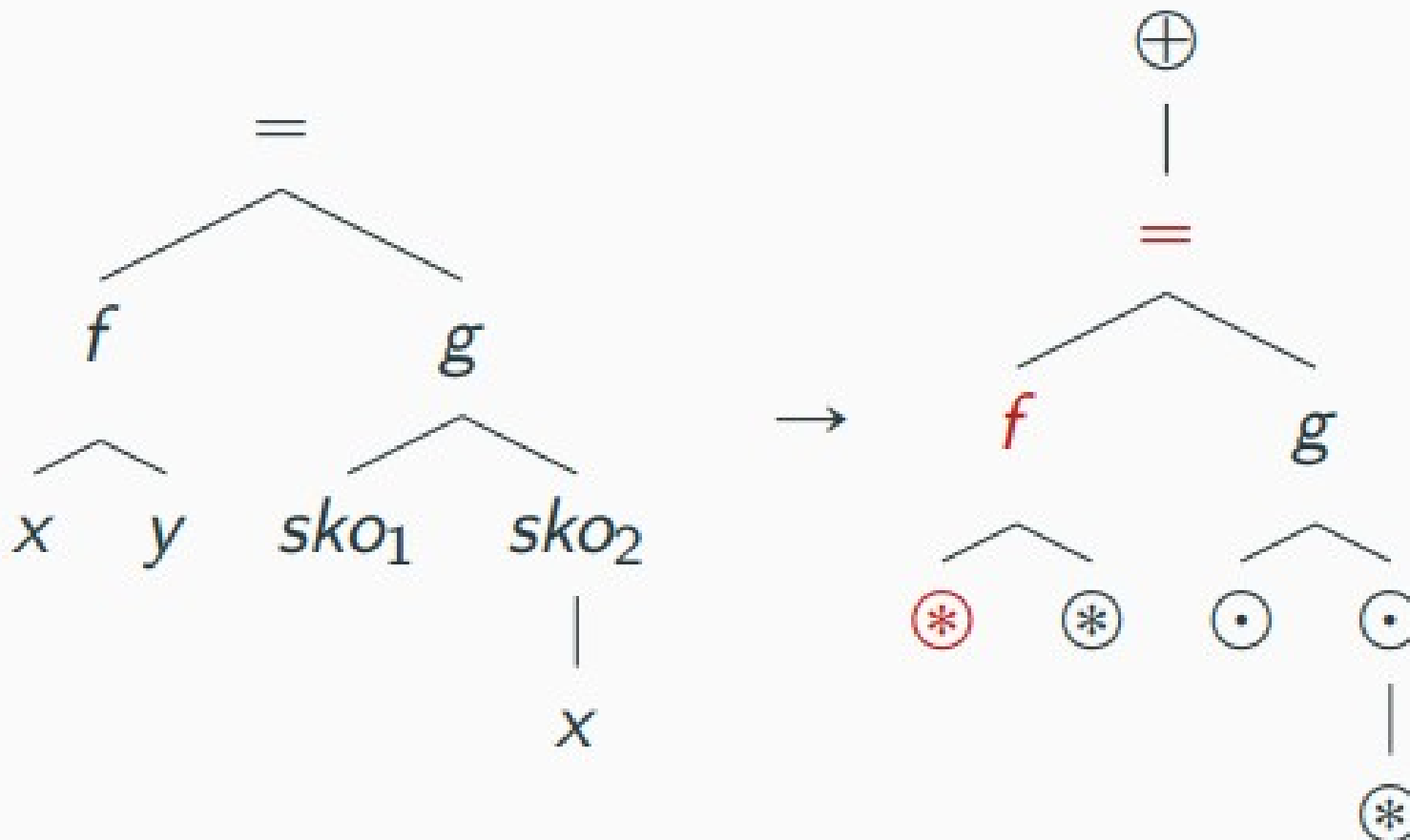
# ENIGMA

- Use statistical machine learner to select given clauses
- Input:
  - Positive examples + conjecture features
  - Negative examples + conjecture features
- Output:
  - (Fast) model to predict whether (clause, conjecture) pairs are *positive* or *negative*.

# Clauses $\longrightarrow$ Vectors

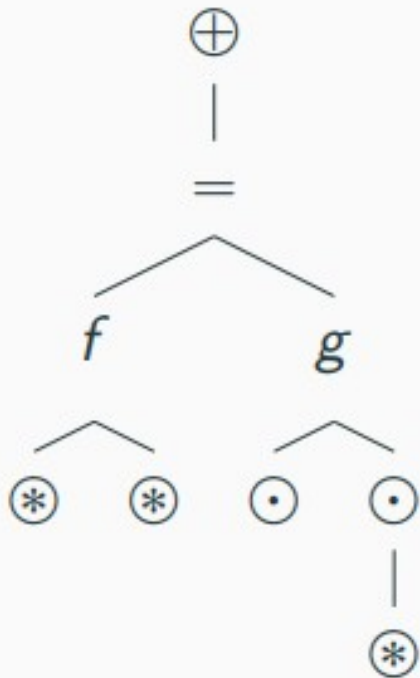
- Treat clauses as trees. Abstract vars and skolem symbols
- *Vertical Features* are descending paths of length 3

For example:  $f(x, y) = g(\text{sko}_1, \text{sko}_2(x))$



# Clauses $\longrightarrow$ Vectors

- Enumerate features  $\rightarrow \mathbb{R}^{|\text{Features}|}$
- Count features in a clause for its vector



#	feature	count
1	$(\oplus, =, a)$	0
$\vdots$	$\vdots$	$\vdots$
11	$(\oplus, =, f)$	1
12	$(\oplus, =, g)$	1
13	$(=, f, \otimes)$	2
14	$(=, g, \odot)$	2
15	$(g, \odot, \otimes)$	1
$\vdots$	$\vdots$	$\vdots$

# Feature Types

- **Vertical** :- top-down tree-walks
- **Horizontal** :- cuts of term tree
- **Symbol** :- occurrence/depth statistics
- **Length** :- clause length, #pos/neg literals
- . . .

# Feature Vector Hashing

- Feature vectors on MML exceed 1,000,000
- So we reduce the size to 32,768 (  $2^{15}$  )
- by adapting a string hash function from SDBM project

# ENIGMA

- Train statistical learner to select given-clauses
- **Enumerate feature map  $\pi$ : feature  $\rightarrow$  R**
- Input:
  - Positive examples + conjecture features
  - Negative examples + conjecture features
- Output:
  - Model M to predict whether (clause, conjecture) pairs are *positive* or *negative*.



# ENIGMA Weight Function

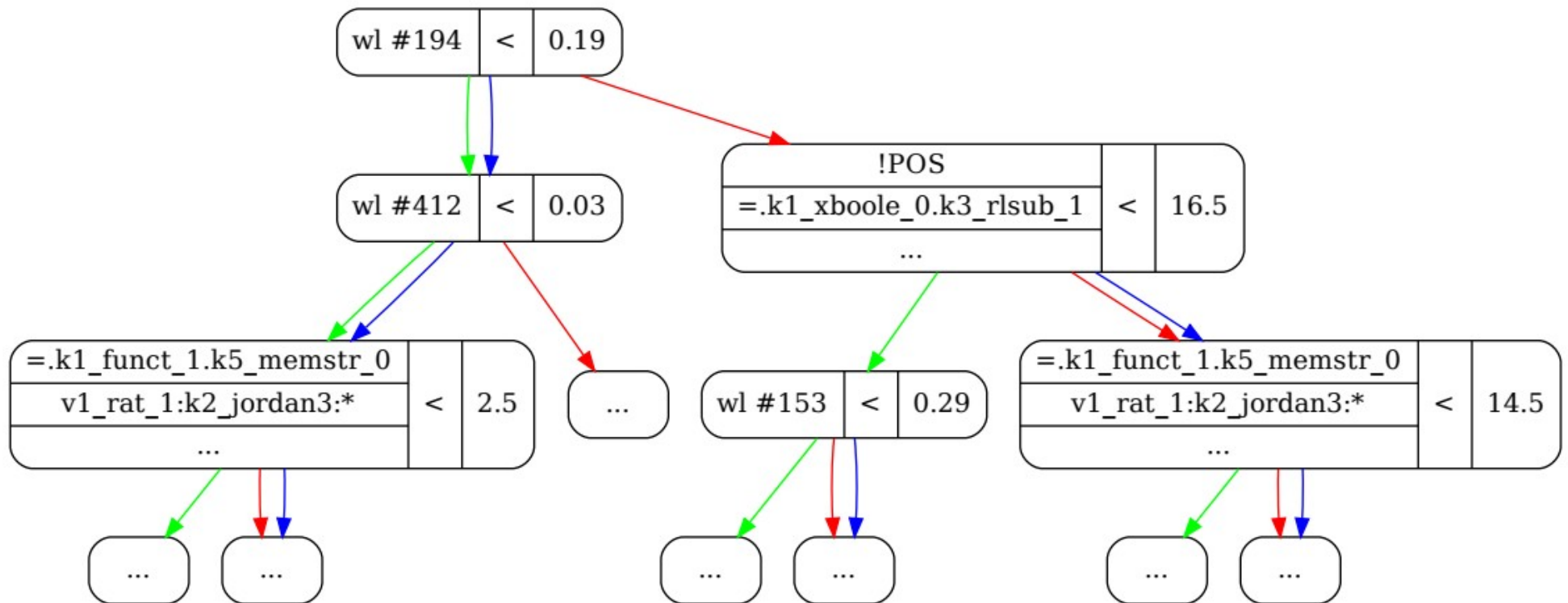
- Feature vector  $\varphi = (\varphi_C, \varphi_G)$ 
  - $\varphi_C = \pi(\text{clause})$
  - $\varphi_G = \pi(\text{conjecture})$
- $\text{weight}(C) = 1$  if  $M(\varphi) > 0.5$  else 10
- It would be good to include the proof-state in  $\varphi$ .

# ENIGMA's Machine Learner

We currently use **XGBoost**, a gradient boosted tree algorithm that

- learns k decision trees to classify data
- sums the k trees' decisions to determine the ensemble estimate
- maintains a histogram of the features to choose splitting points for creating trees

# XGBoost Example Tree



# Watchlists

- A *watchlist* is a set of clauses loaded into the ATP.
- Logical subsumption is used to check the watchlist.
- For example:
  - Let  $W = \textit{brother}(\textit{zar}, Y) \vee \neg \textit{uncle}(\textit{zar})$
  - Let  $C = \textit{brother}(X, Y)$
  - Then  $C \sqsubseteq W$  (with  $X = \textit{zar}$ )
  - We say clause  $C$  matches the watchlist if it subsumes a clause on the watchlist.

# Brief Watchlist History

## 1. Hint list used by Bob Veroff (96)

- In Prover9 and Otter (ATPs).
- Has proven extensions of AIM conjecture (Abelian Inner Mapping) in loop theory.
- Enabled very long proofs (1000+ steps)

## 2. E's watchlist mechanism implemented by Stephan Schulz.

- Uses a priority function: *PreferWatchlist*
- All clauses that match a watchlist are selected first.
- Works with any E weight function.

# ProofWatch (static)

- Uses E's watchlist feature.
- Loads proof clauses onto watchlist:
  - Positive examples only.
- Used via *PreferWatchlist*.
- All *matched clauses* given **the same** priority.

# ProofWatch (dynamic)

- Extends E's watchlist feature to multiple watchlists.
- Loads  $k$  proofs onto  $k$  watchlists.
- Counts matches to each watchlist during proof-search
  - $progress(W)$
- Assumption: completion ratio ( $progress(W_i)/|W_i|$ ) approximates relevance of  $W_i$ 's proof to conjecture.

$$relevance(C) = \max_{W \in \{W_i: C \subseteq W_i\}} \left( \frac{progress(W)}{|W|} \right)$$

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- **Boosts priority** as a function of relevance.
- Used with *PreferWatchlistRelevant*.



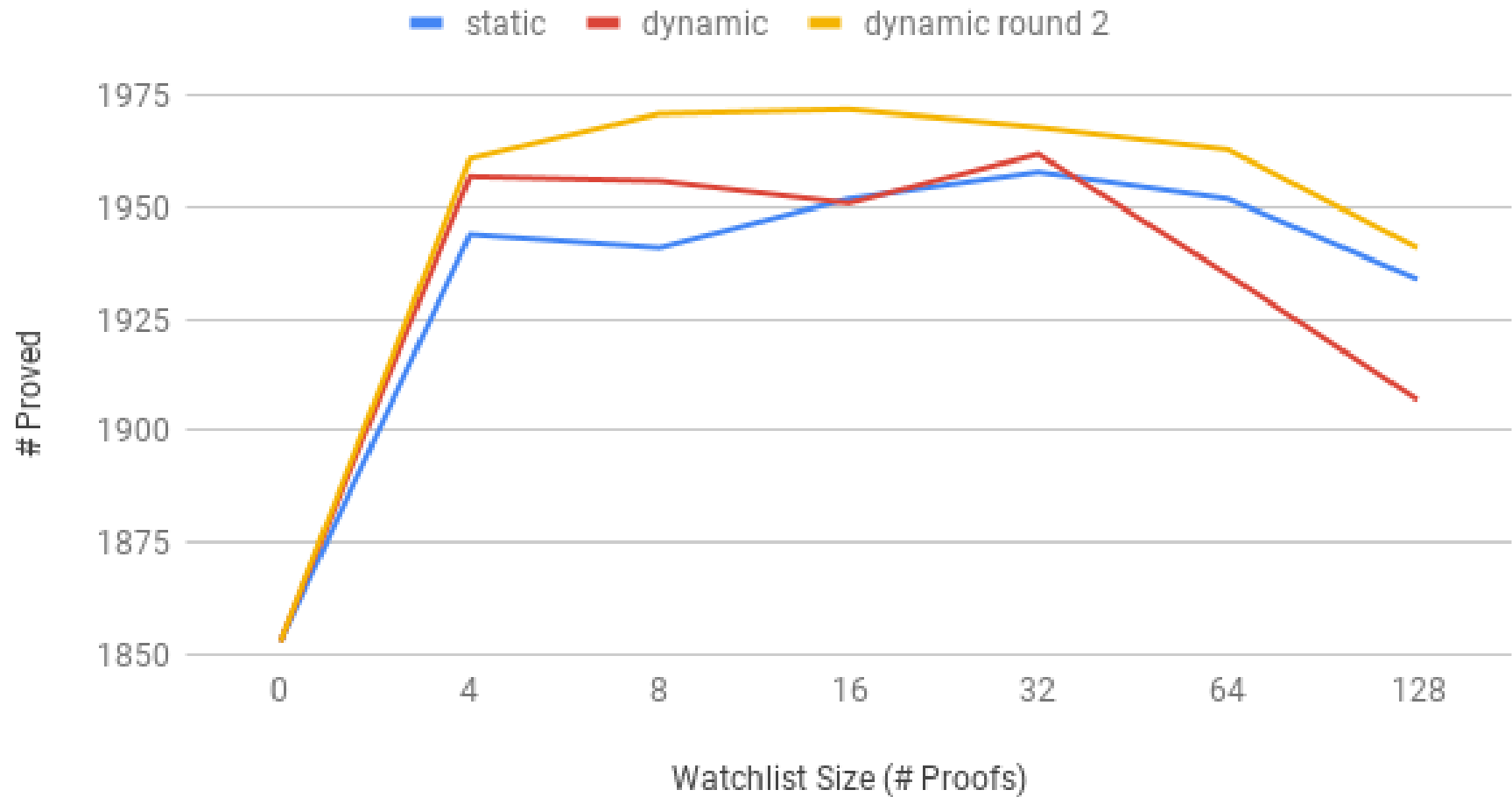
# Watchlist Curation

In the ProofWatch paper we

1. Used E proofs from the conjecture's Mizar article.
2. Used Enigma features with k-NN (k nearest neighbors) to recommend similar proofs.

# ProofWatch Results

ProofWatch: kNN Proof Recommendation (over 5 strategies)



# Proof Vector

A snapshot of the proof-vector for YELLOW 5:36 with 32 k-NN recommended proofs:

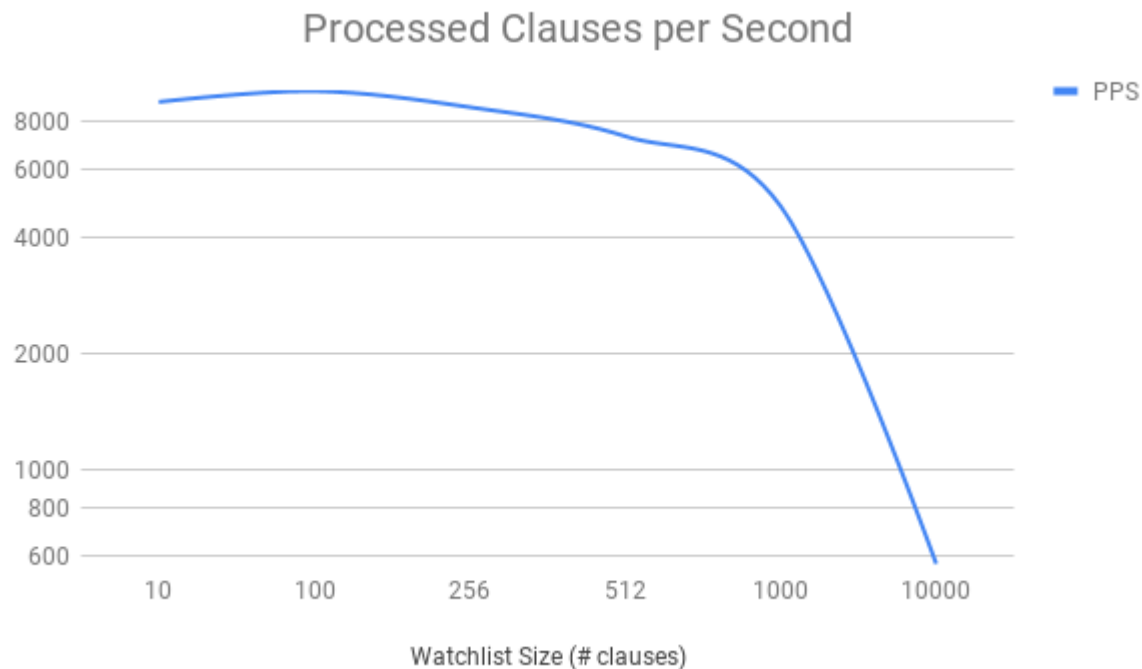
0	0.438	42/96	1	0.727	56/77	2	0.865	45/52	3	0.360	9/25
4	0.750	51/68	5	0.259	7/27	6	0.805	62/77	7	0.302	73/242
8	0.652	15/23	9	0.286	8/28	10	0.259	7/27	11	0.338	24/71
12	0.680	17/25	13	0.509	27/53	14	0.357	10/28	15	0.568	25/44
16	0.703	52/74	17	0.029	8/272	18	0.379	33/87	19	0.424	14/33
20	0.471	16/34	21	0.323	20/62	22	0.333	7/21	23	0.520	26/50
24	0.524	22/42	25	0.523	45/86	26	0.462	6/13	27	0.370	20/54
28	0.411	30/73	29	0.364	20/55	30	0.571	16/28	31	0.357	10/28

Proof Number

Completion Ratio

# Multi-index Subsumption

- 32 proofs is pretty small, right?
- E crawled to a halt with more than 4000 clauses or 128 proofs on the watchlist

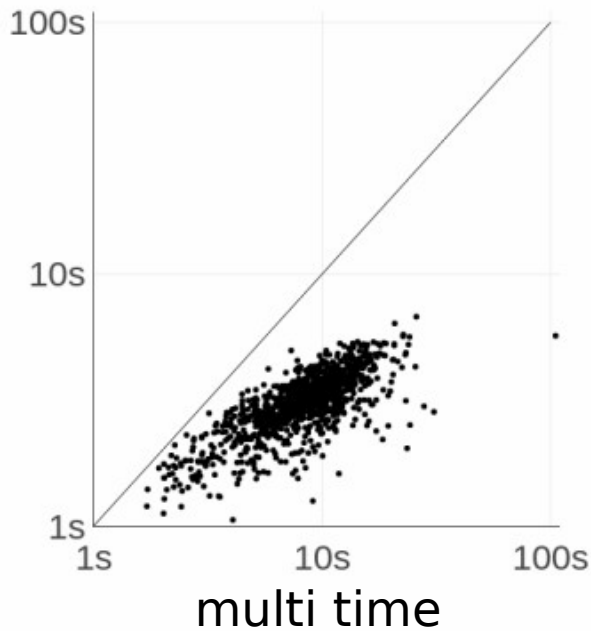


# Multi-index Subsumption

- Define  $code(C) = \{\text{top-level predicate symbols}\}$ 
  - $code(“P(a) \vee \neg P(b) \vee P(f(x))”) = \{+P, -P\}$
- *Given clauses  $C$  and  $D$ ,  $C \sqsubseteq D$  implies  $code(C) \subseteq code(D)$ .*
- Create an index for each clause code in the watchlist.
- Given clause  $C$ , check subsumption in each index whose code contains  $code(C)$ .

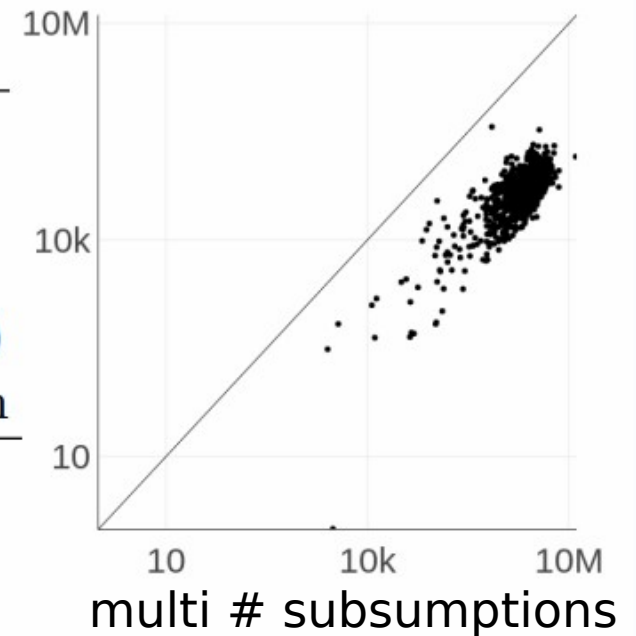
# Multi-index Subsumption

single



	runtime (left graph ←)		
	single	multi	speedup
avg	9.23s	3.16s	2.9×
best	105.3s	5.7s	18.5×
worst	2.26s	2.09s	1.08×
	subsumptions (right →)		
	single	multi	reduction
avg	2328k	52k	44.1×
best	3059	1	3059×
worst	709k	367k	1.9×

single



**Table 2.** Evaluation of multi-indices subsumption indexing.

# ENIGMAWatch

**Idea:** ProofWatch's proof-vector can capture some proof-state information. Give this to ENIGMA.

- Feature vector  $\varphi = (\varphi_C, \varphi_G, \varphi_\pi)$ 
  - $\varphi_C = \pi(\text{clause})$
  - $\varphi_G = \pi(\text{conjecture})$
  - $\varphi_\pi = \text{proof-vector of completion ratios}$

**Challenge:** ENIGMA needs uniform vector space for features to learn over “big data”.

# Mizar Mathematical Library (MML)

- 57,897 Mizar theorems and top-level lemmas
- Premises already selected
  
- Previously ENIGMAWatch was tested on the MPTP Challenge Benchmark:
  - The 252 Mizar lemmas used to prove Bolzano-Weierstrass theorem.



# Proof Vector Construction

## **We want:**

- Proofs that will be useful over the whole MML

# Proof Vector Construction

## We want:

- Proofs that will be useful over the whole MML

## Step 1:

- Run E with 14,882 proofs loaded as watchlists
- For each Conjecture's proof search,
  - For each given-clause,
    - For each watchlist proof,
      - How many proof-clauses were subsumed at the time  $\mathbf{g}$  was selected?
    - **The proof-vectors of completion ratios:**  $\varphi_{\Pi_g}$

# Proof Vector Construction

## Step 1:

- Run E with 14,882 proofs loaded as watchlists
- For each Conjecture's proof search,
  - For each given-clause,
    - Proof-vectors:  $\varphi_{\Pi_g}$  (over the 15k proofs)

## Step 2:

- Sum over given-clauses to obtain mean proof-vectors

$$\varphi_{\Pi_C} = \frac{1}{\#g} \sum_g \varphi_{\Pi_g}$$

# Proof Vector Construction

**Step 2:**  $\varphi_{\Pi_{\bar{C}}} = \frac{1}{\#g} \sum_g \varphi_{\Pi_g}$

- Sum over given-clauses to obtain mean proof-vectors

**Step 3:**

- Choose the “best” 512 watchlists based on  $\varphi_{\Pi_{\bar{C}}}$

# Proof Vector Construction

## Step 3:

- Choose the “best” 512 watchlists based on  $\varphi_{\Pi_{\bar{C}}} = \frac{1}{\#g} \sum_g \varphi_{\Pi_g}$

Methods: Stack  $\varphi_{\Pi_{\bar{C}}}$  into matrix M

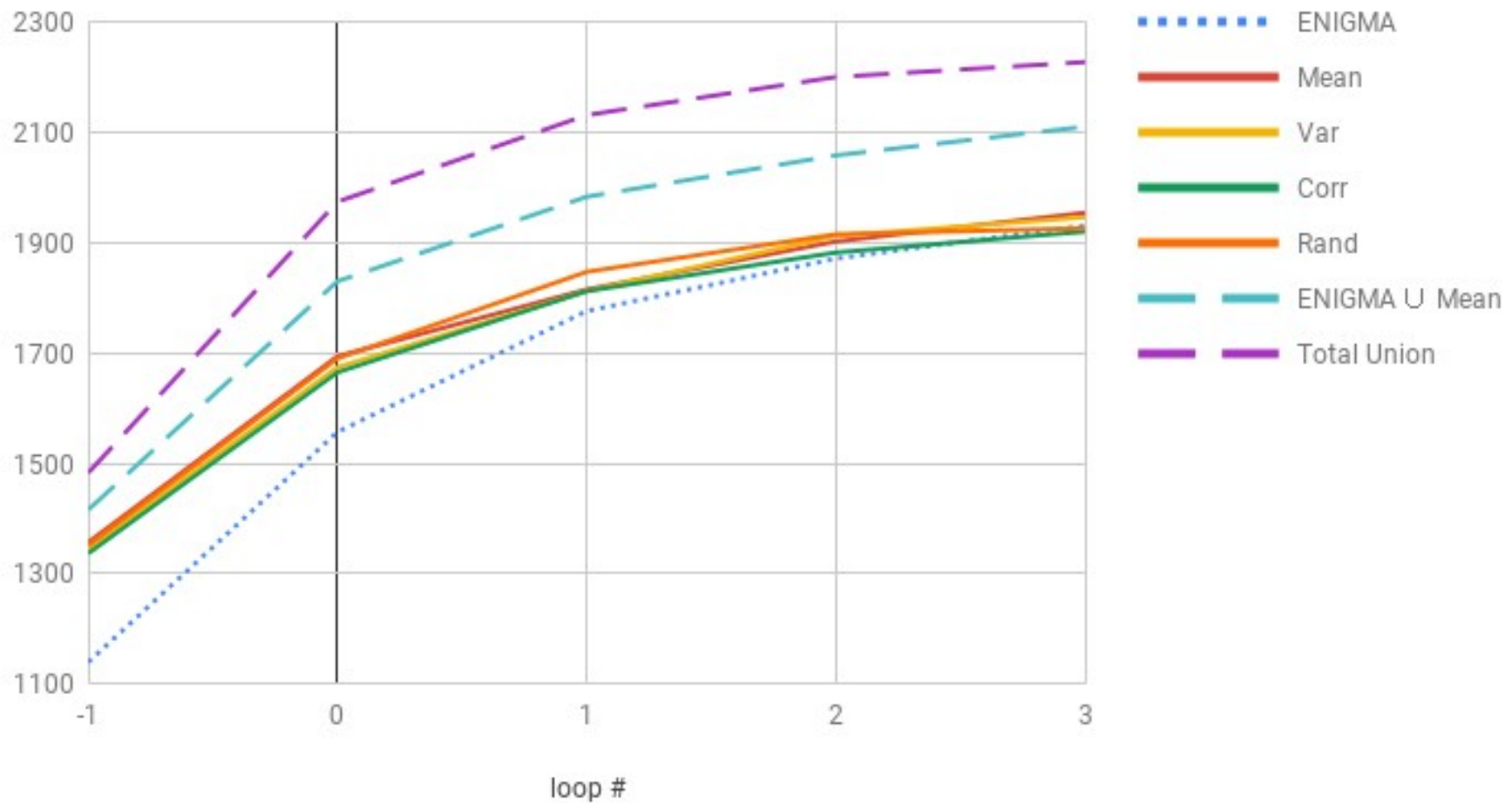
- **Mean**: mean proof-vector across rows, i.e.,  $\max_{W_i} \left( \frac{1}{\#C} \sum_C \varphi_{\Pi_{\bar{C}}} \right)$
- **Var**: compute variance of each watchlist  $W_i$  over conjectures
- **Corr**: find least correlated proofs  $W_i$  by computing Pearson correlation matrix of  $M^T$
- **Rand**: randomly select 512 watchlists to use

# Experiments

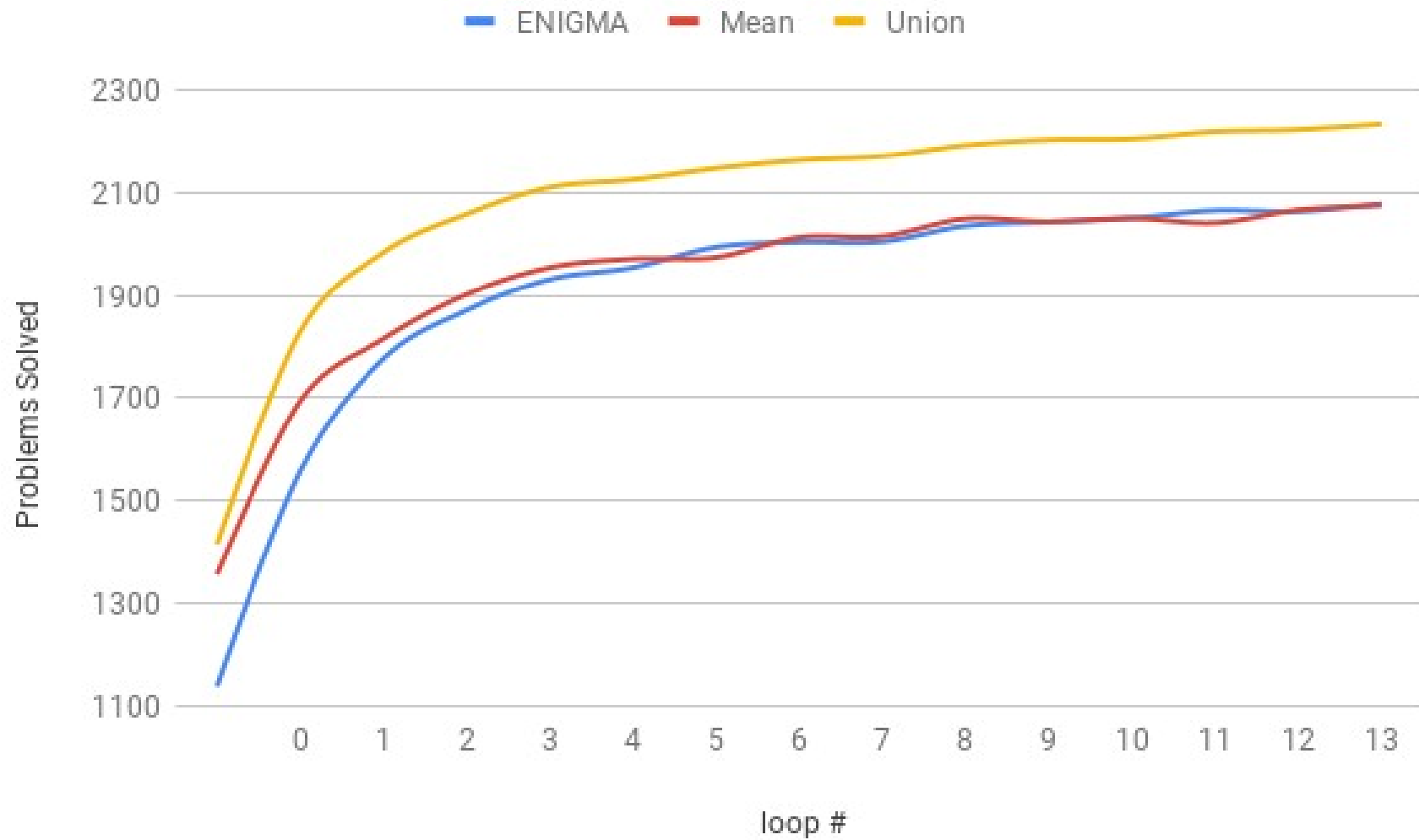
- **Baseline** is the strongest ProofWatch strategy so far.
- The time limit is 60 seconds
- With a 30,000 generated clause limit.
  - Which **Baseline** does can do in 10 seconds.
  - *Abstract time*
- Training and tests are done on 5000 problems from Mizar

# Results

Problems Solved by Loop Iteration



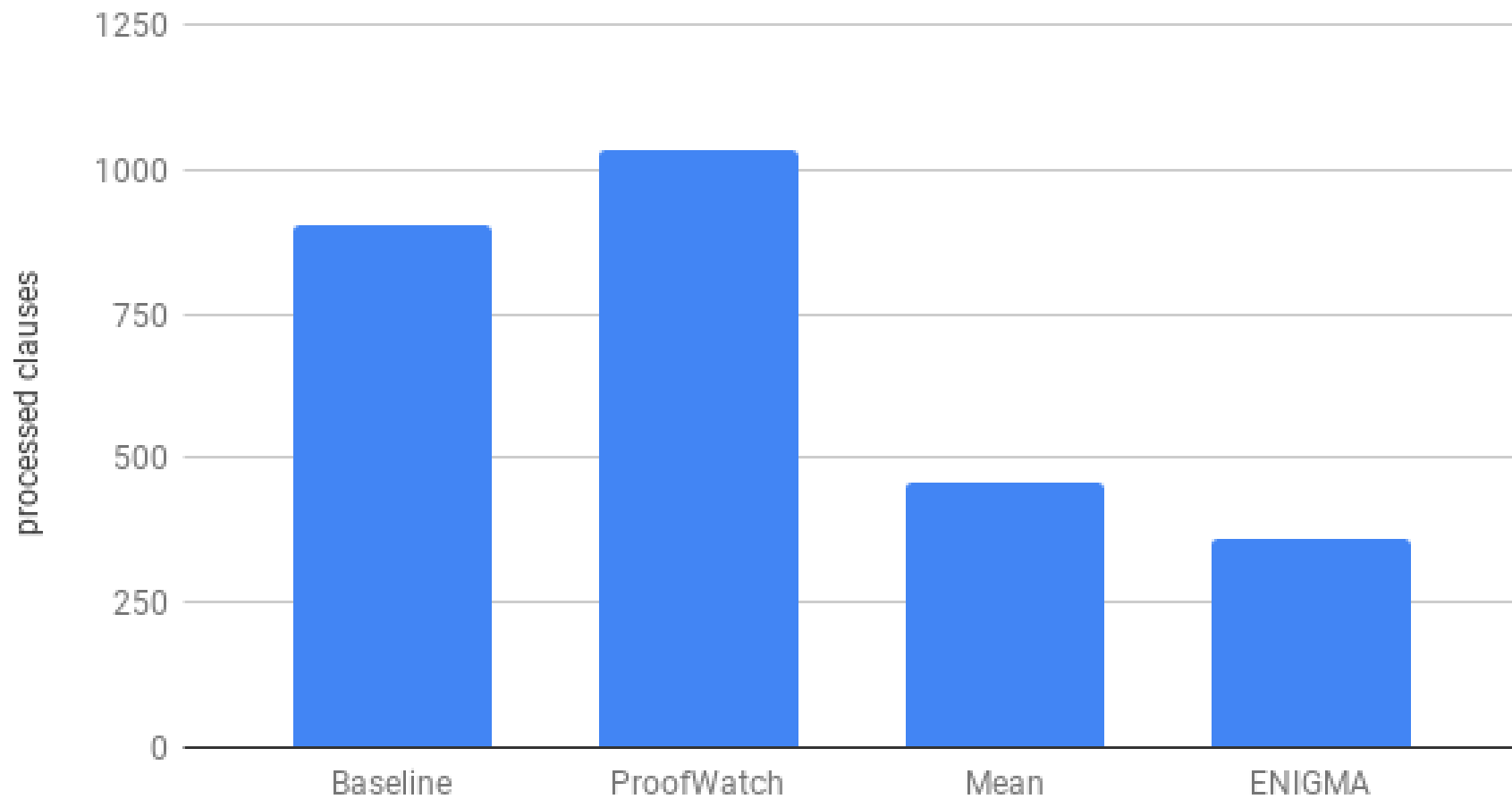
# Results





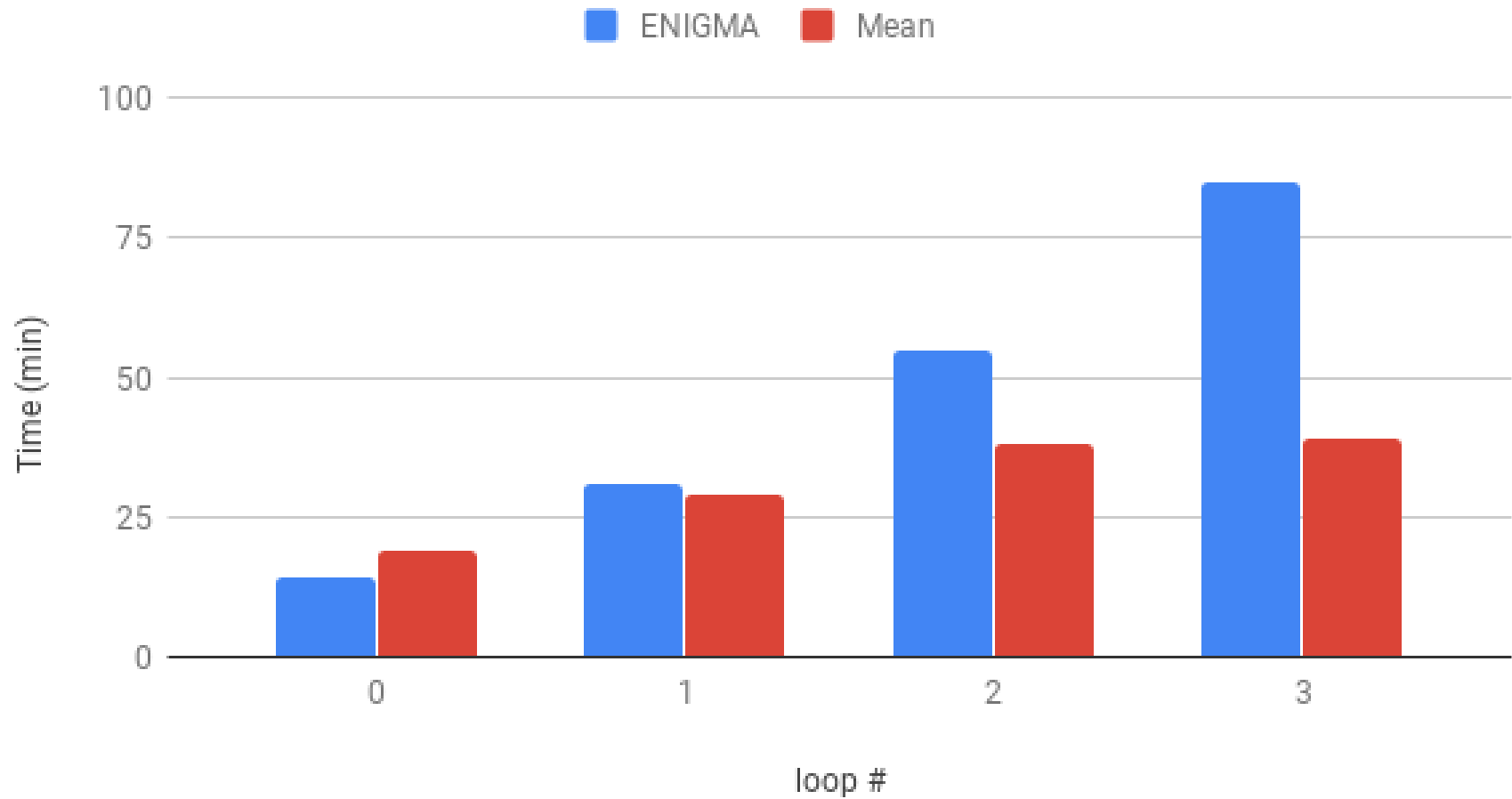
# Results

Average Processed Clauses (loop 1)



# Results

## Model Training Time



# Conclusion

- Feature Hashing and Multi-Index Subsumption allow ENIGMA and ProofWatch to be run on full MML with large watchlists.
- ENIGMAWatch:
  - Proves more problems than ENIGMA in early loops
  - Trains faster
  - Provides complementarity with ENIGMA (good for scheduling)
- Good paradigm of merging symbolic and statistical machine learning.